

PCT REQUEST

Original (for SUBMISSION) - printed on 06.11.2003 10:07:27 AM

0	For receiving Office use only	PCT/US J3/35268
0-1	International Application No.	
0-2	International Filing Date	(66)(1,83) 06 NOV 2003
0-3	Name of receiving Office and "PCT International Application"	PCT INTERNATIONAL APPLICATION RO/US
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.07.2003)
0-5	Petition	
	The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	P1995R1
I	Title of invention	COMPOSITIONS AND METHODS FOR THE TREATMENT OF NATURAL KILLER CELL RELATED DISEASES
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	GENENTECH, INC.
II-5	Address:	1 DNA Way South San Francisco, CA 94080-4990 United States of America
II-6	State of nationality	US
II-7	State of residence	US
II-8	Telephone No.	650-225-1000
II-9	Facsimile No.	650-952-9881
III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	US only
III-1-4	Name (LAST, First)	FONG, Sherman
III-1-5	Address:	19 Basinside Way Alameda, CA 95402 United States of America
III-1-6	State of nationality	US
III-1-7	State of residence	US

PCT REQUEST

Original (for SUBMISSION) - printed on 06.11.2003 10:07:27 AM

III-2	Applicant and/or inventor	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	US only
III-2-4	Name (LAST, First)	DENNIS, Kathryn
III-2-5	Address:	1500 Hillside Drive, #7 Burlingame, CA 94010 United States of America
III-2-6	State of nationality	US
III-2-7	State of residence	US
III-3	Applicant and/or inventor	
III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	US only
III-3-4	Name (LAST, First)	CLARK, Hilary
III-3-5	Address:	495 Harkness Avenue San Francisco, CA 94134 United States of America
III-3-6	State of nationality	US
III-3-7	State of residence	US
III-4	Applicant and/or inventor	
III-4-1	This person is:	applicant and inventor
III-4-2	Applicant for	US only
III-4-4	Name (LAST, First)	CHIU, Henry
III-4-5	Address:	2334 20th Avenue San Francisco, CA 94116 United States of America
III-4-6	State of nationality	US
III-4-7	State of residence	US
III-5	Applicant and/or inventor	
III-5-1	This person is:	applicant and inventor
III-5-2	Applicant for	US only
III-5-4	Name (LAST, First)	SCHOENFELD, Jill
III-5-5	Address:	680 Spring Creek Drive Ashland, OR 97520 United States of America
III-5-6	State of nationality	US
III-5-7	State of residence	US
III-6	Applicant and/or inventor	
III-6-1	This person is:	applicant and inventor
III-6-2	Applicant for	US only
III-6-4	Name (LAST, First)	WILLIAMS, P. Mickey
III-6-5	Address:	509 Alto Avenue Half Moon Bay, CA 94019 United States of America
III-6-6	State of nationality	US
III-6-7	State of residence	US

PCT REQUESTOriginal (for **SUBMISSION**) - printed on 06.11.2003 10:07:27 AM

III-7	Applicant and/or inventor	
III-7-1	This person is:	applicant and inventor
III-7-2	Applicant for	US only
III-7-4	Name (LAST, First)	WOOD, William I.
III-7-5	Address:	35 Southdown Court Hillsborough, CA 94010 United States of America
III-7-6	State of nationality	US
III-7-7	State of residence	US
III-8	Applicant and/or inventor	
III-8-1	This person is:	applicant and inventor
III-8-2	Applicant for	US only
III-8-4	Name (LAST, First)	WU, Thomas D.
III-8-5	Address:	41 Nevada Street San Francisco, CA 94110 United States of America
III-8-6	State of nationality	US
III-8-7	State of residence	US
IV-1	Agent or common representative; or address for correspondence	
	The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	CARPENTER, David A.
IV-1-2	Address:	GENENTECH, INC. 1 DNA Way South San Francisco, CA 94080-4990
IV-1-3	Telephone No.	United States of America 650-225-1000
IV-1-4	Facsimile No.	650-952-9881
IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	AGARWAL, Atulya R.; BARNES, Elizabeth M.; CONLEY, Deirdre L.; CUI, Steven X.; EVANS, David W.; HASAK, Janet E.; JOHNSTON, Sean A.; KRESNAK, Mark T.; KUBINEC, Jeffrey S.; LEE, Wendy M.; MARSCHANG, Diane L.; NAIK, Paul; SCHWARTZ, Timothy R.; SHIN, Elinor K.; SVOBODA, Craig G.; TAN, Lee K.; YEUNG, Bonny G.

PCT REQUEST

Original (for SUBMISSION) - printed on 06.11.2003 10:07:27 AM

V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT</p> <p>EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT</p> <p>EP: AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT</p> <p>OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT</p>
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CO CR CU CZ DE DK DM DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW</p>
V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	08 November 2002 (08.11.2002)
VI-1-2	Number	60/425,235
VI-1-3	Country	US

PCT REQUESTOriginal (for **SUBMISSION**) - printed on 06.11.2003 10:07:27 AM

VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI - 1
VII-1	International Searching Authority Chosen	United States Patent and Trademark Office (USPTO) (ISA/US)
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-
IX	Check list	number of sheets
IX-1	Request (including declaration sheets)	6
IX-2	Description	97
IX-3	Claims	4
IX-4	Abstract	1
IX-5	Drawings	1629
IX-7	TOTAL	1737
	Accompanying items	paper document(s) attached
IX-8	Fee calculation sheet	✓
IX-11	Copy of general power of attorney	reference no. none
IX-17	PCT-EASY diskette	Diskette
IX-19	Figure of the drawings which should accompany the abstract	none
IX-20	Language of filing of the international application	English
X-1	Signature of applicant, agent or common representative	
X-1-1	Name (LAST, First)	CARPENTER, David A.

FOR RECEIVING OFFICE USE ONLY

(06/11/03)

10-1	Date of actual receipt of the purported international application	102 Rec'd PCT/PTO 06 NOV 2003
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	

PCT REQUEST

Original (for SUBMISSION) - printed on 06.11.2003 10:07:27 AM

10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/US
10-6	Transmittal of search copy delayed until search fee is paid	

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
------	--	--